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JAN 3 1 2006

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

10/531,687

Confirmation No. 3729

Applicant

Garry A. Palmateer

Filed

10/17/2002

TC/A.U.

Not yet assigned

Examiner

Not yet assigned

Docket No.

941960

Customer No.

33798

Commissioner for Patents P.O. Box 1450

Alexandria VA 22313-1450

#### RESPONSE

Sir:

In response to the Notification of Defective Response dated January 20, 2006, please consider the following remarks.

The specification of the above-captioned application does not disclose a nucleotide and/or amino acid sequence for which a "Sequence Listing" is required under 37 CFR 1.821. Therefore, Applicant is not required to provide a "Sequence Listing" in either paper or computer readable form.

In view of the above remarks, Applicant submits that the all requirements for National Entry have been met and requests withdrawal of the further requirements. Applicant has enclosed a copy of the Attorney/Applicant Copy of the Notification of Defective Response since the return copy was not included in the mailing.

Respectfully submitted,

**ANISSIMOFF & ASSOCIATES** 

Date: January 31, 2006

Hans Koenig

Reg. No. 46,474

Tel.: (519) 673-5591

Attachments



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address CCM/MSSICNER FOR PATENTS F.C. Box 1430 Almandia, Vaning 2011-1450

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/531,687 Garry A Palmateer 941960

INTERNATIONAL APPLICATION NO.
PCT/CA02/01557

33798
ANISSIMOFF & ASSOCIATES
RICHMOND NORTH OFFICE CENTRE
SUITE 201
235 NORTH CENTRE RD.
LONDON, ON N5X 4E7
CANADA

1.A. FILING DATE PRIORITY DATE
10/17/2002

Date Mailed: 01/20/2006

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495)

- Indication of Small Entity Status
- Copy of the International Application filed on 04/15/2005
- Copy of the International Search Report filed on 04/15/2005
- Copy of IPE Report filed on 04/15/2005
- Preliminary Amendments filed on 04/15/2005
- Information Disclosure Statements filed on 04/15/2005
- Oath or Declaration filed on 11/02/2005
- Small Entity Statement filed on 04/15/2005
- U.S. Basic National Fees filed on 04/15/2005
- Assignment filed on 11/02/2005
- Power of Attorney filed on 04/15/2005
- Specification filed on 04/15/2005
- Claims filed on 04/15/2005
- Abstracts filed on 04/15/2005
- Drawings filed on 04/15/2005

Applicant's response filed 11/02/2005 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS mailed 09/19/2005 have not been completed.

- This application does not contain, as a separate part of the disclosure on paper copy, a "Sequence Listing" as required by 37 CFR 1.821(c) Applicant must provide an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment specifically directing its entry into the application and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37
   CFR 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice

published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:

- For Rules Interpretation, call (571) 272-0951
- For Patentin Software Program Help, call Patent EBC at 1-866-217-9197 or directly at 703-305-3028 / 703-308-6845 between the hours of 6 a.m. and 12 midnight, Monday through Friday, EST.
- Send e-mail correspondence for Patentin Software Program Help @ ebc@uspto.gov

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

#### **BARBARA A CAMPBELL**

Telephone: (703) 308-9140 EXT 217

#### PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. 941960 |  |
|-----------------------------|-------------------------------|-------------------------|--|
| 10/531,687                  | PCT/CA02/01557                |                         |  |

FORM PCT/DO/EO/916 (371 Formalities Notice)

ANISSIMOFF & ASSOCIATES

519 673 6784

P.01/05

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# **FAX TRANSMITTAL**

TO:

**Commissioner for Patents** 

United States Patent and Trademark Office

FAX:

571-273-8300

CC:

FROM:

Hans Koenig

MATTER #:

941960

DATE:

January 31, 2006

# OF PAGES:

5

RE:

United States Patent Application 10/531,687

## Attached are:

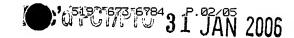
1. Transmittal Form

Response to Notification of Defective Response

3. Copy of Notification of Defective Response

This message is intended only for the use of the individual or entity to which it is addressed and may contain information that is privileged, confidential and exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, or the employee or agent responsible for delivering the message to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify us immediately by telephone and return the original message to us by mail at the address noted above. Thank you.

Anissimoff & Associates



PTO/SB/21 (09-04)

Date January 31, 2006

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|--|--|--|--------------------------------------|--|-----------------------|--|
| Under the Pasetwork Reduction Act of 1995. In<br>TRANSMITTAL<br>FORM |  | Application Number Filing Date                   |                                      | 10/531,687  10/17/2002  Garry A. Palmateer |                       |  |
|  |  |  |                                      |  |                       |  |
|  |  | Art Unit   | Art Unit                             |  |                       |  |
|  |  |  |                                      | Examiner                                   | Name                  |  |
| · -  | all correspondence after Initial                         |  | Oocket Number                        | 941960                                     |                       |  |
| Total Number of  | Pages in This Submission                                 | <u> </u>   |                                      |  |                       |  |
|  |  | ENCLOSURES                                       | (Check all                           | that apply)                                | )                     | AG-All-  |
| Fee Trans  | smittal Form   | Drawing(s)                                       |                                      |  |                       | After Allowance Communication to TC  |
| ☐ Fo   | en Attached  | Licensing-re                                     | Licensing-related Papers             |  |                       | Appeal Communication to Board of Appeals and Interferences                       |
| 7  |  | Petition   |                                      |  |                       | Appeal Communication to TC<br>(Appeal Notice, Brief, Reply Brief)                |
| Amendme  |  | Petition to C                                    |                                      |  |                       | Proprietary Information  |
|  | fter Final Provisional Application Power of Attorney, Ri |  |                                      |  |                       | Status Letter  |
| <del>-</del>   | fidevits/declaration(s)                                  |  | Change of Correspondence Ad          |  |                       | Other Enclosure(s) (please Identify  |
| Extension  | of Time Request  |  | Terminal Disclaimer                  |  |                       | below):  |
| Express A  | Abandonment Request                                      | Request for                                      |                                      |  | <br>                  | •  |
| Information  | on Disclosure Statement                                  | CD, Number                                       |                                      |  | }                     |  |
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| Certified ( Documen  | Copy of Priority<br>t(s)                                 | Remarks  |                                      |  |                       |  |
|  | Missing Parts/   |  |                                      |  |                       |  |
| - IIICOIII PIE   | e Application<br>eply to Missing Parts                   |  |                                      |  |                       |  |
| יט ל   | oder 37 CFR 1.52 or 1.53                                 |  |                                      |  |                       |  |
|  | SIGNA  | TURE OF APPLI                                    | CANT ATTO                            | RNEY. O                                    | RAGE                  | NT   |
| irm Name   | Anissimoff & Associates                                  | 10112 01 711 1 41                                |                                      |  |                       |  |
| Ignature   | Allissificit & Associates                                |  |                                      |  |                       |  |
|  | 1-18   |  |                                      |  |                       |  |
| rinted name  | Hans Koenig  |  |                                      | Reg. No.                                   |                       |  |
| ate  | Jenuary 31, 2006   |  |                                      |  | 46,474                |  |
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| hereby certify thu<br>fficient postage<br>ne date shown be           | as first class mail in an en                             | eing facsimile transmi<br>velope addressed to: ( | tted to the USPT<br>Commissioner for | O or deposi<br>Patents, P                  | ited with<br>P.O. Box | the United States Postal Service with<br>1450, Alexandria, VA 22313-1450 on      |
| ignatur <del>e</del>   | 7  | <u> </u>   |                                      |  |                       |  |
|  |  |  |                                      |  |                       |  |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retein a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete his form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO; Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Hans Koenig

Typed or printed name